



PRIVACY IMPACT ASSESSMENT (PIA)

For the

Emergency Notification System
Missile Defense Agency (MDA)

SECTION 1: IS A PIA REQUIRED?

a. Will this Department of Defense (DoD) information system or electronic collection of information (referred to as an "electronic collection" for the purpose of this form) collect, maintain, use, and/or disseminate PII about members of the public, Federal personnel, contractors or foreign nationals employed at U.S. military facilities internationally? Choose one option from the choices below. (Choose (3) for foreign nationals).

- (1) Yes, from members of the general public.
- (2) Yes, from Federal personnel* and/or Federal contractors.
- (3) Yes, from both members of the general public and Federal personnel and/or Federal contractors.
- (4) No

* "Federal personnel" are referred to in the DoD IT Portfolio Repository (DITPR) as "Federal employees."

b. If "No," ensure that DITPR or the authoritative database that updates DITPR is annotated for the reason(s) why a PIA is not required. If the DoD information system or electronic collection is not in DITPR, ensure that the reason(s) are recorded in appropriate documentation.

c. If "Yes," then a PIA is required. Proceed to Section 2.

SECTION 2: PIA SUMMARY INFORMATION

a. Why is this PIA being created or updated? Choose one:

- New DoD Information System
- Existing DoD Information System
- Significantly Modified DoD Information System
- New Electronic Collection
- Existing Electronic Collection

b. Is this DoD information system registered in the DITPR or the DoD Secret Internet Protocol Router Network (SIPRNET) IT Registry?

- Yes, DITPR Enter DITPR System Identification Number parent is USSOUTHMAN (ID 3284)
- Yes, SIPRNET Enter SIPRNET Identification Number N/A
- No

c. Does this DoD information system have an IT investment Unique Project Identifier (UPI), required by section 53 of Office of Management and Budget (OMB) Circular A-11?

- Yes
- No

If "Yes," enter UPI

parent is USSOUTHMAN (UPI 007-97-02-44-02-0498-00)

If unsure, consult the Component IT Budget Point of Contact to obtain the UPI.

d. Does this DoD information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information should be consistent.

- Yes
- No

If "Yes," enter Privacy Act SORN Identifier

DPR 39 DoD

DoD Component-assigned designator, not the Federal Register number.
Consult the Component Privacy Office for additional information or
access DoD Privacy Act SORNs at: <http://www.defenselink.mil/privacy/notices/>

or

Date of submission for approval to Defense Privacy Office

Consult the Component Privacy Office for this date.

NA

e. Does this DoD information system or electronic collection have an OMB Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information.

This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

Yes

Enter OMB Control Number

N/A

Enter Expiration Date

N/A

No

f. Authority to collect information. A Federal law, Executive Order of the President (EO), or DoD requirement must authorize the collection and maintenance of a system of records.

(1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be the same.

(2) Cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply.)

(a) Whenever possible, cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.

(b) If a specific statute or EO does not exist, determine if an indirect statutory authority can be cited. An indirect authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.

(c) DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component should be identified.

NSPD-51/HSPD-20 was issued to establish and maintain a comprehensive and effective national continuity capability. The Federal Continuity Directive1 (FCD 1) provides direction for the development of continuity plans and programs for the Federal executive branch. FCD 1 directs that the continuity plan must establish alert and notification procedures for all continuity personnel. It further directs that the continuity plan must establish and maintain appropriate point of contact rosters of trained continuity personnel who are fully equipped and have the authority to perform essential functions.

g. Summary of DoD information system or electronic collection. Answers to these questions should be consistent with security guidelines for release of information to the public.

(1) Describe the purpose of this DoD information system or electronic collection and briefly describe the types of personal information about individuals collected in the system.

The Emergency Notification System is a web-based application that provides emergency notification across the MDA. It has the capability to notify members in the database, through electronic mail and telephone, of personnel recalls, real world and exercise threat conditions. Notification processes can be defined to support both agency-wide and individual unit's needs.

(2) Briefly describe the privacy risks associated with the PII collected and how these risks are addressed to safeguard privacy.

The PII being collected includes: Name, Home Phone, Mobile Phone numbers, work e-mail. In the current version of the software, the system operators can see the PII while using the system. The software is role based so only specific users will have access to the PII. The next release of the software will provide the ability to globally mark everyone as unlisted to hide PII. Users will be required to provide their work Blackberry, work cell phone, and work phone. Users will be requested to provide home phone numbers or personal cell number.

h. With whom will the PII be shared through data exchange, both within your DoD Component and outside your Component (e.g., other DoD Components, Federal Agencies)? Indicate all that apply.

Within the DoD Component.

Specify.

Other DoD Components.

Specify.

Other Federal Agencies.

Specify.

State and Local Agencies.

Specify.

Contractor (Enter name and describe the language in the contract that safeguards PII.)

Specify.

Other (e.g., commercial providers, colleges).

Specify.

i. Do individuals have the opportunity to object to the collection of their PII?

Yes

No

(1) If "Yes," describe method by which individuals can object to the collection of PII.

MDA personnel may elect not to provide home phone or personal mobile phone numbers. This is identified in the web-based Self Service page where this information is inputted by each individual. Objection can be easily accomplished by simply not inputting their information in the system. When this information is requested by individuals, a Privacy Act Statement (PAS) is provided which informs them that the collection is voluntary, not mandatory.

(2) If "No," state the reason why individuals cannot object.

N/A

j. Do individuals have the opportunity to consent to the specific uses of their PII?

Yes

No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

The act of inputting the Personally Identifiable Information data into the Emergency Notification System constitutes the consent for specific use. The Privacy Act Statement gives individuals the option to provide or not provide their Personally Identifiable Information to be used with the system.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

N/A

k. What information is provided to an individual when asked to provide PII data? Indicate all that apply.

Privacy Act Statement

Privacy Advisory

Other

None

Describe each applicable format.

Under Titles 5 USC 552a (Privacy Act of 1974) and 44 USC 3101 (Records Management), the information below is primarily needed for the purpose of providing alert and notification communications. National Security Presidential Directive NSPD 51/Homeland Security Presidential Directive HSPD-20 states that a continuity plan must establish alert and notification procedures for all continuity personnel throughout all phases of a continuity event. Providing home telephone/mobile phone numbers is voluntary; however, failure to do so will result in limitations in alert and notification communications.

NOTE:

Sections 1 and 2 above are to be posted to the Component's Web site. Posting of these Sections indicates that the PIA has been reviewed to ensure that appropriate safeguards are in place to protect privacy.

A Component may restrict the publication of Sections 1 and/or 2 if they contain information that would reveal sensitive information or raise security concerns.